

PATENT Attorney Docket No.: <u>SSI-08500</u>

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: CONTROL OF FLUID FLOW IN

THE PROCESSING OF AN OBJECT WITH A FLUID

Group Art Unit: 3746

Examiner:

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10 - 20 - 05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 10/20/08 By: N. Woll



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Examiner:

CERTIFICATION FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on September 9, 2005. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

**PATENT** 

Attorney Docket No.: SSI-08500

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

- 2 -

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-20-05

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicant

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Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- Japanese Patent Abstract JP 4-284648;
- Japanese Patent Abstract JP 62-111442; and
- Japanese Patent Abstract JP 63-256326.

<u>PATENT</u>

Attorney Docket No.: SSI-08500

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

- 2 -

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: /0-20-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicant

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22313-1450

HAVERSTOCK & OWENS I.I.P.

Date: 10/20/0XBv: ////

FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: SSI-08500

Serial No.: 10/630,649

Applicant: William Dale Jones

INFORMATION DISCLOSUSE STATEMENT BY APPLICANT (Use Several meets if Necessary) Filing Date: July 29, 2003 Group Art Unit: 3746 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Initials Filing Date Applicant / Patentee Class Subclass Issue Date AA ΑB AC AD ΑE ΑF AG ΑH ΑĬ ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number Subclass **Publication Date** Country / Patent Office Class Yes No H01L 21/68 Х JP 4-284648 10/09/92 Japan ΑK 21/68 Х ΑL JP 62-111442 05/22/87 Japan H01L B23Q 3/08 Х ΑM JP 63-256326 10/24/88 Japan ΑN AO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) AP AQ ARAS ΑT ΑU ΑV ΑW ΑX ΑY ΑZ Date Considered: Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **EXAMINER:**